



FY21 ANNUAL SERVICE & BUDGET PLAN

**SUBMITTED
4/29/2020**

GEOGRAPHIC AREA: Allamakee, Butler, Black Hawk, Cerro Gordo, Chickasaw, Clayton, Emmet, Fayette, Floyd, Grundy, Hancock, Howard, Humboldt, Mitchell, Pocahontas, Tama, Webster, Winneshiek, and Wright.

APPROVED BY ADVISORY BOARD: 4/22/2020

APPROVED BY GOVERNING BOARD: 4/29/2020

Table of Contents

Introduction	2
A. Local Access Points.....	2
B. Service Coordination and Targeted Case Management	4
C. Crisis Planning	4
D. Intensive Mental Health Services	5
E. Scope of Services & Budget and Financing Provisions	6
Table A. Expenditures	7
Table B. Revenues	10
Table E. County Levies	11
G. Financial Forecasting Measures	12
H. Provider Reimbursement Provisions	13
Appendix A: County Social Services Board Members.....	14
Appendix B: Children's Behavioral Advisory Committees.....	15
Appendix C: CSS Advisory Committees.....	16
Appendix D: 2020 Federal Poverty Guidelines.....	17
Appendix E: County Social Services 2020 Sliding Fee Schedule for CMHC Outpatient Services.....	18

Introduction

County Social Services was formed under Iowa Code Chapter 28E to create a Mental Health and Disability Service (MHDS) Region in compliance with Iowa Code 331.390. The annual service and budget plan is a component of the Management Plan which includes three parts: Annual Service and Budget Plan, Annual Report and Policies and Procedures Manual in compliance with Iowa Administrative Code 441.25.

The FY2021 Annual Service and Budget Plan covers the period of July 1, 2020 to June 30, 2021. The Annual Service and Budget Plan includes local access points, targeted case management providers, crisis services, intensive mental health services, a description of the scope of services to be provided including core services, budget and financial provisions, and provider reimbursement provisions.

County Social Services will begin FY2021 with 19 member counties. Kossuth, Winnebago and Worth Counties will transition into an adjoining MHDS region as of 7/1/2020.

The Annual Service and Budget Plan has been approved by the County Social Services Governing Board on April 29, 2020, and is subject to approval by the Director of Human Services. The CSS Plan is available in each local CSS office, and on the CSS (www.countysocialservices.org) and DHS websites.

The current membership of the FY2021 County Social Services Governing Board, formed in accordance with Iowa Code 331.390, may be found in the appendix. Additional members representing the Children's Behavioral Services, will be added prior to July 1, 2020. County Social Services, due to the geographical size, also has formed advisory committees in our local service areas for adult and children's services. These groups are also listed in the appendix.

A. Local Access Points

An access point is a part of the County Social Services Regional service system trained to complete MHDS regional applications for persons with a disability.

County Social Services has designated the following access points for mental health and disability services, as well as for children's behavioral health services.

Access Point	Location	Contact Information
CSS 24/7 Support Line	N/A	855-266-1257
County Social Services Local County Offices		
Allamakee County	110 Allamakee Street Waukon, IA 52172	563-568-6227
Black Hawk County	1407 Independence Ave. Waterloo, IA 50703	319-292-2272
Butler County	315 N. Main Street Allison, IA 50602	319-267-2663
Cerro Gordo County	525 9 th Street SE Mason City, IA 50401	641-210-7015
Chickasaw County	114 N. Vine Street West Union, IA 52175	563-422-5047

Clayton County	514 South River Park Dr. Guttenberg, IA 52052	563-252-3215
Emmet County	609 1st Ave N, Ste. 5 Estherville, IA 51334	712-362-2452
Fayette County	114 N. Vine Street West Union, IA 52175	563-422-5047
Floyd County	1206 S. Main St., Ste D Charles City, IA 50616	641-257-6363
Grundy County	706 H Avenue, Suite 1 Grundy Center, IA 50638	319-824-6779
Hancock County	545 State St., Suite 1 Garner, IA 50438	641-590-2340
Howard County	205 2nd Street E Cresco, IA 52136	563-547-9207
Humboldt County	203 Main Street Dakota City, IA 50529	515-332-5205
Mitchell County	415 Pleasant Street Osage, IA 50461	641-832-2615
Pocahontas County	23 3rd Ave NE Pocahontas, IA 50574	712-335-3269
Tama County	211 W. State Street Toledo, IA 52342	641-484-4191
Webster County	308 Central Ave. Fort Dodge, IA 50501	515-573-1485
Winneshiek County	607 Washington St. Decorah, IA 52101	855-266-1257
Wright County	120 1st Ave NW Clarion, IA 50525	515-532-3309
Designated Mental Health Center Access Points		
Black Hawk-Grundy Mental Health Center	3251 West 9th St. Waterloo, IA 50702	800-583-1526
Center Associates	1309 S. Broadway Toledo, IA 52342	800-896-2055
Northeast Iowa Behavioral Health	905 Montgomery St. Decorah, IA 52101	800-400-8923
Pathways Behavioral Services	111 10th St. SW Waverly, IA 50677-0114	800-879-1372
Prairie Ridge Integrated Behavioral	32 N. Eisenhower Ave Mason City, IA 50401	866-429-2391
Seasons Center for Behavioral Health	201 E. 11th Street Spencer, IA 51301	800-242-5101
UnityPoint Health – Berryhill Center	720 S. Kenyon Rd. Fort Dodge, IA 50501	800-482-8305

B. Service Coordination and Targeted Case Management

The following agencies provide service coordination and/or targeted case management for County Social Services.

Service Coordination Provider	Adult/Child/Both	Location	Contact Information
County Social Services Integrated Care Management	Both	Entire CSS region – see access points above	855-266-1257
County Social Services Strength Based Case Management	Both	Entire CSS region – see access points above	855-266-1257
DHS Targeted Case Management	Both	Statewide	515-281-7163

C. Crisis Planning

Sound crisis planning begins with prevention and community education. County Social Services continues to support and/or host prevention and education initiatives within the region. Support is offered to our local NAMI organizations, as well as NAMI Iowa, making NAMI Basics, Family-to-Family, Peer-to-Peer and teacher training classes available in the region. Both Youth and Adult Mental Health First Aid (MHFA) classes continue to be hosted and taught by County Social Services' certified trainer. Local counties and organizations within our communities find value in the region providing this training. The CSS I-START team continues to host monthly Clinical Education Team trainings, which remain free and open to the public. Attendees explore ways to enhance the service system's ability to support individuals with complex needs with understanding treatment options and intervention techniques. I-START also hosts national START trainings via web-based technology, which are also free and open to the public. Each year County Social Services provides stipends for individuals to attend the annual Iowa Empowerment Conference. The region will collaborate with provider and advocacy agencies, Area Education Agencies and school districts to make children's behavioral health prevention and education activities available at least quarterly.

The following accredited crisis services are available to residents of the region for crisis prevention, response and resolution.

24 Hour Crisis Response

Provider	Location	Contact Information
Seasons Center for Community Mental Health	Spencer, IA	800-242-5101
Foundation 2	Cedar Rapids, IA	855-581-8111

County Social Services I-START also has its own 24-hour crisis response line for I-START clients and team members. Although not currently accredited by Chapter 24, 24-hour crisis response is a necessary part of our program in order to gain national START program certification, which is slated to happen in 2020.

24 Hour Crisis Hotline

Provider	Location	Contact Information
YourLifeIowa	https://yourlifeiowa.org/	855-581-8111

Crisis Evaluation

Provider	Adult/Child/Both	Location	Contact Information
Integrated Telehealth Partners	Both	Local E.D.s through telehealth	855-270-3625
Adult Crisis Stabilization Center	Adult	Waterloo, IA	319-291-2455
Seasons Center for Community Mental Health	Both	Spencer, IA	800-242-5101

Mobile Response

Provider	Location	Contact Information
Seasons Center for Community Mental Health	Spencer, IA	800-242-5101

UnityPoint-Berryhill Center in Fort Dodge has also launched the ramp-up of Mobile Response for Humboldt, Kossuth, Pocahontas, Webster and Wright Counties and current plans are to begin services in Webster County on July 1, 2020 and August 1, 2020 in the remaining counties.

County Social Services has been in discussions with the Black Hawk County Sheriff regarding the Sheriff providing Mobile Response to our south service area (Black Hawk, Butler, Grundy and Tama Counties). This discussion will continue after the need for continuous attention to the COVID-19 response subsides.

Crisis Stabilization Community-based Services

Provider	Adult/Child	Location	Contact Information
None currently available			

UnityPoint-Berryhill's Mobile Response, when fully implemented, will be able to remain with a person in their home, or place of response, to provide this service.

Crisis Stabilization Residential Services

Provider	Adult/Child	Location	Contact Information
Adult Crisis Stabilization Center	Adult	Waterloo, IA	319-291-2455

The following Crisis Stabilization Residential Service providers are all currently active in serving individuals in crisis; however, they currently are not accredited due to facility size or are working on accreditation for youth crisis stabilization. Prairie View Management in Fayette, IA is also in the early stages of transitioning their model to include adult crisis stabilization residential in the first half of FY2021.

Provider	Adult/Child	Location	Contact Information
Community & Family Resources	Adult	Fort Dodge, IA	515-576-7261
Youth & Shelter Services	Child	Mason City, IA	641-423-7362
Lutheran Services in Iowa	Child	Waverly, IA (Bremwood campus)	319-859-3533
Youth Shelter Care of North Central Iowa	Child	Fort Dodge, IA	515-955-4222

23-Hour Observation

Provider	Location	Contact Information
None currently available		

We continue conversations with providers regarding 23-hour observation and will have this service available by July 1, 2021.

County Social Services utilizes providers in neighboring regions for crisis services, as well, and reimburse the providers according to the host region contract. We will continue to develop a strong network of crisis services so they are available throughout the region.

D. Intensive Mental Health Services

The region has designated the following provider as an **Access Center**. Although currently not meeting all requirements according to IAC 441—25.6(1) in a coordinated manner in one or more locations to be designated at the state-level, the July 1, 2021 deadline for the state designation is attainable. County Social Services is also actively working with providers in Fort Dodge and Fayette for Access Center designation by July 1, 2021.

Provider	Location	Contact Information
North Iowa Elite Mental Health Services	Waterloo, IA	319-291-2455

The region has designated the following **Assertive Community Treatment (ACT)** teams which have been designated and meet the requirements in IAC 441—25.6(2). Prairie View Management, Inc. in Fayette, IA will also launch an ACT Team prior to July 1, 2021.

Provider	Location	Contact Information
Resources for Human Development	Waterloo, IA	319-229-5030
Unity Point-Berryhill Center	Fort Dodge, IA	800-482-8305
Seasons Center for Community Mental Health	Spencer, IA	800-242-5101

The region has designated the following **Subacute** service providers which meet the criteria and are licensed by the Department of Inspections and Appeals. Prairie View Management, Inc. in Fayette, IA is also actively working on a subacute designation. The target date for this service availability is currently July 1, 2020; however, the COVID-19 pandemic may delay this. The region is also actively working with providers in Fort Dodge to develop subacute services in the west service area by July 1, 2021.

Provider	Location	Contact Information
North Iowa Elite Mental Health Services	Waterloo, IA	319-291-2455

The region has designated the following **Intensive Residential Service** providers which have been designated and meet the requirements in IAC 441—25.6(8):

Provider	Location	Contact Information
None currently available		

The region currently has no designated **Intensive Residential Service** providers which have been designated and meet the requirements in IAC 441—25.6(8). However, Prairie View Management, Inc. in Fayette, IA is actively working to provide this service and does already meet many of the requirements. We will have this service available by July 1, 2021.

County Social Services’ I-START program is an integral part of the array of services in both the Crisis and Intensive Mental Health Services areas. Our I-START team, using a person-centered, solutions-focused approach, provides a community-based crisis intervention system for individuals with intellectual/developmental disabilities and co-occurring behavioral health needs. I-START supports the work of the local provider and the family/natural support system with a systems of care approach and cross systems crisis prevention and intervention planning. Involvement in I-START optimizes independence, wellness and community living for individuals. START is a national evidence-informed model currently operational in three regions in Iowa.

E. Scope of Services & Budget and Financing Provisions

The table below identifies the scope of services offered by County Social Services. All core services are available. If there is not funding identified in a core service column, it is because it has not been needed historically. If an individual needs funding for a core service and meets eligibility requirements found in Section F of the County Social Services Policy and Procedures Manual, funding will be made available. Core services for children’s behavioral health are identified by (**) after the service name. Eligibility guidelines for children’s behavioral health services are also located in Section F of the County Social Services Policy and Procedure Manual. The Policy and Procedures Manual can be found online at: <https://www.countysocialservices.org/index.php/programs/disability>. The Policies and Procedures Manual will be updated with the most current version, including additions for children’s behavioral health services, as soon as it is approved by the MHDS Commission.

The region will utilize braided funding that includes county, regional, state and other funding sources as necessary and available to meet the service needs within the region.

In FY2021, County Social Services will allow an eligible individual's resources to exceed the resource guidelines set forth in Iowa Code. We will allow resources up to \$12,000 for an individual and \$13,000 for a multi-person household, which match the current resource limitations for the Iowa Medicaid for Employed Persons with Disabilities program. Iowa Code 331.393 subsection 2.h. states: The financial eligibility requirements for services under the regional service system. A plan that otherwise incorporates the financial eligibility requirements of section 331.395 but allows eligibility for persons with resources above the minimum resource limitations adopted pursuant to section 331.395 subsection 1, paragraph "c", who were eligible under resource limitations in effect prior to July 1, 2014, or are authorized by the region as an exception to policy, shall be deemed by the department to be in compliance with financial eligibility requirements of section 331.395.

Table A. Expenditures

FY 2021 Budget	County Social Services MHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total
Core Domains							
COA	Treatment						
42305	Mental health outpatient therapy **	\$320,000	\$2,500	\$2,500	\$0		\$325,000
42306	Medication prescribing & management **	\$220,000	\$0	\$0	\$0		\$220,000
43301	Assessment, evaluation, and early identification **	\$40,000	\$0	\$0	\$0		\$40,000
71319	Mental health inpatient therapy-MHI	\$275,000	\$25,000	\$0	\$0		\$300,000
73319	Mental health inpatient therapy **	\$10,000	\$0	\$0	\$0		\$10,000
	Crisis Services						
32322	Personal emergency response system	\$500	\$0	\$0	\$0		\$500
44301	Crisis evaluation	\$165,000	\$5,000	\$5,000	\$0		\$175,000
44302	23 hour crisis observation & holding	\$10,000	\$0	\$0	\$0		\$10,000
44305	24 hour access to crisis response	\$30,000	\$0	\$0	\$0		\$30,000
44307	Mobile response **	\$700,000	\$30,000	\$10,000	\$10,000		\$750,000
44312	Crisis Stabilization community-based services **	\$15,000	\$5,000	\$0	\$0		\$20,000
44313	Crisis Stabilization residential services **	\$700,000	\$60,000	\$20,000	\$0		\$780,000
44396	Access Centers: start-up / sustainability	\$2,000,000	\$0	\$0	\$0		\$2,000,000
	Support for Community Living						
32320	Home health aide	\$1,000	\$500	\$500	\$0		\$2,000
32325	Respite	\$15,000	\$2,500	\$2,500	\$0		\$20,000
32328	Home & vehicle modifications	\$0	\$0	\$0	\$0		\$0
32329	Supported community living	\$1,500,000	\$200,000	\$150,000	\$50,000		\$1,900,000
42329	Intensive residential services	\$0	\$0	\$0	\$0		\$0
	Support for Employment						

50362	Prevocational services	\$5,000	\$20,000	\$2,500	\$2,500		\$30,000
50364	Job development	\$9,500	\$0	\$500	\$0		\$10,000
50367	Day habilitation	\$50,000	\$150,000	\$15,000	\$10,000		\$225,000
50368	Supported employment	\$20,000	\$85,000	\$50,000	\$0		\$155,000
50369	Group Supported employment-enclave	\$5,000	\$20,000	\$20,000	\$5,000		\$50,000
	Recovery Services						
45323	Family support	\$5,000	\$0	\$0	\$0		\$5,000
45366	Peer support	\$100,000	\$0	\$0	\$0		\$100,000
	Service Coordination						
21375	Case management	\$0	\$0	\$0	\$0		\$0
24376	Health homes	\$5,000	\$0	\$0	\$0		\$5,000
	Sub-Acute Services						
63309	Subacute services-1-5 beds	\$0	\$0	\$0	\$0		\$0
64309	Subacute services-6 and over beds	\$240,000	\$0	\$0	\$0		\$240,000
	Core Evidenced Based Treatment						
04422	Education & Training Services - provider competency	\$5,000	\$0	\$0	\$0		\$5,000
32396	Supported housing	\$5,000	\$0	\$0	\$0		\$5,000
42398	Assertive community treatment (ACT)	\$850,000	\$0	\$0	\$0		\$850,000
45373	Family psychoeducation	\$5,000	\$0	\$0	\$0		\$5,000
	Core Domains Total	\$7,306,000	\$605,500	\$278,500	\$77,500		\$8,267,500
	Mandated Services						
46319	Oakdale	\$20,000	\$0	\$0	\$0		\$20,000
72319	State resource centers	\$0	\$0	\$0	\$0		\$0
74XXX	Commitment related (except 301)	\$430,000	\$6,000	\$2,000	\$2,000		\$440,000
75XXX	Mental health advocate	\$440,000	\$6,000	\$2,000	\$2,000		\$450,000
	Mandated Services Total	\$890,000	\$12,000	\$4,000	\$4,000		\$910,000
	Additional Core Domains						
	Justice system-involved services						
25xxx	Coordination services	\$120,000	\$3,000	\$2,000	\$0		\$125,000
44346	24 hour crisis line*	\$0	\$0	\$0	\$0		\$0
44366	Warm line*	\$0	\$0	\$0	\$0		\$0
46305	Mental health services in jails	\$245,000	\$5,000	\$5,000	\$0		\$255,000
46399	Justice system-involved services-other	\$0	\$0	\$0	\$0		\$0
46422	Crisis prevention training	\$20,000	\$0	\$0	\$0		\$20,000
46425	Mental health court related costs	\$0	\$0	\$0	\$0		\$0
74301	Civil commitment prescreening evaluation	\$10,000	\$0	\$0	\$0		\$10,000
	Additional Core Evidenced based treatment						
42366	Peer self-help drop-in centers	\$250,000	\$0	\$0	\$0		\$250,000
42397	Psychiatric rehabilitation (IPR)	\$0	\$0	\$0	\$0		\$0
	Additional Core Domains Total	\$645,000	\$8,000	\$7,000	\$0		\$660,000
	Other Informational Services						
03371	Information & referral	\$7,000	\$1,000	\$1,000	\$1,000		\$10,000

04372	Planning, consultation &/or early intervention (client related) **	\$10,000	\$0	\$0	\$0		\$10,000
04377	Provider Incentive Payment	\$0					\$0
04399	Consultation Other	\$0	\$0	\$0	\$0		\$0
04429	Planning and Management Consultants (non-client related)	\$15,000	\$0	\$0	\$0		\$15,000
05373	Public education, prevention and education **	\$35,000	\$5,000	\$5,000	\$5,000		\$50,000
	Other Informational Services Total	\$67,000	\$6,000	\$6,000	\$6,000		\$85,000
Community Living Supports							
06399	Academic services	\$0	\$0	\$0	\$0		\$0
22XXX	Services management	\$1,710,000	\$80,000	\$80,000	\$50,000		\$1,920,000
23376	Crisis care coordination	\$75,000	\$5,000	\$5,000	\$5,000		\$90,000
23399	Crisis care coordination other	\$0	\$0	\$0	\$0		\$0
24399	Health home other	\$0	\$0	\$0	\$0		\$0
31XXX	Transportation	\$100,000	\$60,000	\$60,000	\$5,000		\$225,000
32321	Chore services	\$0	\$0	\$0	\$0		\$0
32326	Guardian/conservator	\$25,000	\$90,000	\$3,000	\$2,000		\$120,000
32327	Representative payee	\$70,000	\$5,000	\$5,000	\$3,000		\$83,000
32335	CDAC	\$7,000	\$0	\$0	\$0		\$7,000
32399	Other support	\$0	\$0	\$0	\$0		\$0
33330	Mobile meals	\$0	\$0	\$0	\$0		\$0
33340	Rent payments (time limited)	\$70,000	\$5,000	\$5,000	\$5,000		\$85,000
33345	Ongoing rent subsidy	\$120,000	\$0	\$0	\$0		\$120,000
33399	Other basic needs	\$135,000	\$0	\$0	\$0		\$135,000
41305	Physiological outpatient treatment	\$5,000	\$0	\$0	\$0		\$5,000
41306	Prescription meds	\$15,000	\$0	\$0	\$0		\$15,000
41307	In-home nursing	\$10,000	\$5,000	\$5,000	\$0		\$20,000
41308	Health supplies	\$0	\$0	\$0	\$0		\$0
41399	Other physiological treatment	\$0	\$0	\$0	\$0		\$0
42309	Partial hospitalization	\$0	\$0	\$0	\$0		\$0
42310	Transitional living program	\$0	\$0	\$0	\$0		\$0
42363	Day treatment	\$0	\$0	\$0	\$0		\$0
42396	Community support programs	\$50,000	\$0	\$0	\$0		\$50,000
42399	Other psychotherapeutic treatment	\$10,000	\$0	\$0	\$0		\$10,000
43399	Other non-crisis evaluation	\$0	\$0	\$0	\$0		\$0
44304	Emergency care	\$0	\$0	\$0	\$0		\$0
44399	Other crisis services	\$0	\$0	\$0	\$0		\$0
45399	Other family & peer support	\$0	\$0	\$0	\$0		\$0
46306	Psychiatric medications in jail	\$50,000	\$0	\$0	\$0		\$50,000
50361	Vocational skills training	\$0	\$0	\$0	\$0		\$0
50365	Supported education	\$0	\$0	\$0	\$0		\$0
50399	Other vocational & day services	\$0	\$0	\$0	\$0		\$0
63XXX	RCF 1-5 beds (63314, 63315 & 63316)	\$0	\$0	\$0	\$0		\$0
63XXX	ICF 1-5 beds (63317 & 63318)	\$0	\$0	\$0	\$0		\$0

63329	SCL 1-5 beds	\$0	\$0	\$0	\$0		\$0
63399	Other 1-5 beds	\$10,000	\$0	\$0	\$0		\$10,000
	Community Living Supports	\$2,462,000	\$250,000	\$163,000	\$70,000		\$2,945,000
Other Congregate Services							
50360	Work services (work activity/sheltered work)	\$0	\$0	\$0	\$0		\$0
64XXX	RCF 6 and over beds (64314, 64315 & 64316)	\$1,425,000	\$25,000	\$25,000	\$25,000		\$1,500,000
64XXX	ICF 6 and over beds (64317 & 64318)	\$0	\$0	\$0	\$0		\$0
64329	SCL 6 and over beds	\$125,000	\$50,000	\$0	\$0		\$175,000
64399	Other 6 and over beds	\$35,000	\$0	\$0	\$0		\$35,000
	Other Congregate Services Total	\$1,585,000	\$75,000	\$25,000	\$25,000		\$1,710,000
Administration							
11XXX	Direct Administration					\$1,520,000	\$1,520,000
12XXX	Purchased Administration					\$205,000	\$205,000
	Administration Total					\$1,725,000	\$1,725,000
	Regional Totals	\$12,955,000	\$956,500	\$483,500	\$182,500	\$1,725,000	\$16,302,500
(45XX-XXX)County Provided Case Management							
						\$205,500	\$205,500
(46XX-XXX)County Provided Services (I-START)							
						\$1,135,500	\$1,135,500
	Regional Grand Total						\$17,643,500

*24 hour crisis line and warm line are transitioning from additional core to state wide core services with state funding.

**Core services for children with a serious emotional disturbance (SED)

Table B. Revenues

FY 2021 Budget	County Social Services MHDS Region		
Revenues			
	Projected Fund Balance as of 6/30/20		\$ 12,368,731
	Less Fund Balance Allocation to 3 Counties Exiting Region		\$ (671,275)
	Local/Regional Funds		\$ 12,817,515
10XX	Property Tax Levied	\$11,710,659	
12XX	Other County Taxes	\$13,403	
16XX	Utility Tax Replacement Excise Taxes	\$382,453	
25XX	Other Governmental Revenues	\$307,200	
4XXX-5XXX	Charges for Services	\$112,000	
5310	Client Fees	\$0	
60XX	Interest	\$40,000	
6XXX	Use of Money & Property	\$1,800	
8XXX	Miscellaneous	\$250,000	
9040	Other Budgetary Funds (Polk Only)	\$0	
		\$0	
	State Funds		\$900,635

21XX	State Tax Credits	\$668,235	
22XX	Other State Replacement Credits	\$231,455	
2250	MHDS Equalization	\$0	
24XX	State/Federal pass thru Revenue	\$0	
2644	MHDS Allowed Growth / State Gen. Funds	\$0	
29XX	Payment in Lieu of taxes	\$945	
		\$0	
	Federal Funds		\$205,500
2344	Social services block grant	\$0	
2345	Medicaid	\$205,500	
	Other	\$0	
	Total Revenues		\$13,923,650

Total Funds Available for FY21	\$25,621,106
FY21 Projected Regional Expenditures	\$17,643,500
Projected Accrual Fund Balance as of 6/30/21	\$7,977,606

Table E. County Levies

County	2018 Est. Pop.	Regional Per Capita	FY21 Max Levy	FY21 Actual Levy	Actual Levy Per Capita
Allamakee	13,832	43.65	603,767	414,960	30.00
Black Hawk	132,408	43.65	5,779,609	3,972,240	30.00
Butler	14,539	43.65	634,627	436,170	30.00
Cerro Gordo	42,647	43.65	1,861,542	1,279,410	30.00
Chickasaw	11,964	43.65	522,229	358,920	30.00
Clayton	17,556	43.65	766,319	526,680	30.00
Emmet	9,253	43.65	403,893	277,590	30.00
Fayette	19,660	43.65	858,159	589,800	30.00
Floyd	15,761	43.65	687,968	472,830	30.00
Grundy	12,304	43.65	537,070	369,120	30.00
Hancock	10,712	43.65	467,579	321,360	30.00
Howard	9,187	43.65	401,013	275,610	30.00
Humboldt	9,547	43.65	416,727	286,410	30.00
Mitchell	10,569	43.65	461,337	317,070	30.00
Pocahontas	6,740	43.65	294,201	202,200	30.00
Tama	16,904	43.65	737,860	507,120	30.00
Webster	36,277	43.65	1,583,491	1,088,310	30.00
Winneshiek	20,029	43.65	874,266	600,870	30.00
Wright	12,690	43.65	553,919	380,700	30.00
Total CSS Region	422,579		18,445,573	12,677,370	30.00

G. Financial Forecasting Measures

The following is a description of the financial forecasting measures used by County Social Services in the identification of service need and funding necessary for services.

Historical service utilization is the starting point for all financial projections and was utilized when developing the current budget. Throughout the year, CSS staff and stakeholders identify unmet needs and areas for service development. This budget was developed using our property tax levy revenue as the guideline. We continually assess community needs and may reallocate funds within the budget as needed to areas of unmet need.

Always keeping our mission of increasing community inclusion and capacity through nurturing partnerships at the forefront, our November 2019 Annual CSS Stakeholder Meeting allowed plenty of time for collaboration and input among attendees regarding FY2021 priorities.

It was interesting to hear some of the top stakeholder priorities are not necessarily service delivery issues but involve structural and system issues within the region and statewide. The stakeholders felt strongly that CSS should partner with our providers to encourage rebasing of the Medicaid rates and suggest legislation to review rate adjustments annually. They also discussed interagency collaboration at the local level so all agencies know what resources are available and what other agencies are doing. Along with that, consensus was that the region needs to invest in marketing so we have an informed community. Stakeholders also wondered why Medicaid will fund higher rates for out-of-state placements but won't fund those rates for in-state providers. Showing how forward-thinking this stakeholder group is, they asked how we can use technology to better serve people. Can Medicaid reimburse SCL support for telephonic/video support? Seeing where we are today, this question was very timely.

Stakeholders clearly prioritized children's services. The group was asked if CSS should push beyond the mandated services and the answer was yes. We should strive for prevention in our delivery and funding of children's services. Also a priority to stakeholders is an Access Center in the west service area of the region, which is being worked on. The comment also was made that there needs to be incentive for providers to serve complex individuals in order to meet the Intensive Residential Services requirement.

The final question posed to the group was, "If nothing else changes by this time next year, what is the one thing that needs to change?" Medicaid reimbursement was the top answer.

County Social Services will also budget for additional service development in the areas of Crisis Services and Intensive Mental Health Services in order to be in compliance by July 1, 2021. The addition of Children's Behavioral Services will impact this year's budget, as well. Although County Social Services currently funds outpatient and crisis stabilization residential services for children, as well as many other services in our matrix, the allocation of a staff position and the impact of a higher sliding fee income threshold will both add to our FY2021 budget. Crisis stabilization residential expenditures for children are expected to increase with the implementation of mobile crisis and more awareness of this service. We are budgeting approximately \$575,000 for Children's Behavioral Services in FY2021.

County Social Services strives to be responsible stewards of the taxpayer's finances, while at the same time be responsive to the needs of the mental health and disability service system. While our fund balance may be used for start-up funds for some needed projects, we keep in mind that ongoing region funding for services that should be funded by Medicaid is not sustainable. Region funding with the property tax revenue available continues to be for gaps in the current service system and needed services not reimbursed by Medicaid.

H. Provider Reimbursement Provisions

The following is a description of the types of funding used by County Social Services.

- **Fee for service:**
County Social Services will contract with MHDS providers whose base of operation is within the region. County Social Services may also honor contracts that other regions have with their local providers or may choose to contract with providers outside of the region. A contract may not be required with providers that provide one-time or as needed services. A fee for service approach will be utilized in most provider contracts outlining the services to be provided and the rate of reimbursement. All payments will be based on a pre-authorized request for service authorization with the exception of court ordered payments, such as civil commitment costs, and crisis services.
- **Block grant payments:**
County Social Services utilizes block grant funding for activities not specified to one individual, but that benefit the population as a whole. Block grant funding is used for drop-in centers, matching dollars for the federal PATH grant for homeless outreach, judicial hospital referees, mental health reentry programs, etc.
- **Compensation for a “system of care” approach:**
A System of Care funding approach is used for services such as our CSS I-START services. Even though County Social Services employs the I-START staff, we apply a per member per month rate to each individual served. We also use this methodology to fund the psychiatric services in the Black Hawk County Jail, spreading the cost over the individuals served that month.
- **Use of nontraditional providers:**
When a non-traditional provider arrangement is more appropriate than a fee for service approach with a contracted provider, the service coordinator will request such arrangements. A non-traditional provider may be an individual, organization and/or business who delivers services in the consumer’s home and/or other community setting. Non-traditional providers typically are individuals, organizations, or businesses which do not provide MHDS services as a part of their normal business. These services are not to provide treatment but are supportive and may be rehabilitative in focus, and are initiated when there is a reasonable likelihood that such services will benefit the consumer’s functioning, assist them in maintaining community tenure, and act as an alternative way to achieve the consumer’s stated goals or outcomes. A request can be made by any consumer, or the consumer’s authorized representative, to utilize non-traditional providers for services as approved in the Regional Management Plan. Non-traditional providers may be subject to certain licensing, certification, accreditation or other state approval standards. County Social Services contracts with Veridian Fiscal Solutions to enroll members in region-funded Self-Directed Services. This service delivery model allows the individual to select a non-traditional provider of their choice and become the actual employer. Payroll is run through Veridian Fiscal Solutions.
- **Incorporation of all funding sources for individuals including Medicaid:**
The Region is responsible for ensuring that MHDS services are available to all residents of the region regardless of funding source. County Social Services will ensure that individuals apply for all funding sources prior to accessing regional funding, including private insurance, Medicaid, Medicare and other funding mechanisms which ensure that individuals and their families are able to optimally live, work, and recreate in integrated communities of their choice.

Appendix A

County Social Services Board July 2020		
Name	Title	Representing
Roy Schwickerath	Board Chair	Floyd County Board of Supervisors
Craig White	Vice Chair	Black Hawk County Board of Supervisors
Karl Helgevold	Secretary/Treasurer	Wright County Board of Supervisors
Dennis Koenig	Board Member	Allamakee County Board of Supervisors
Greg Barnett	Board Member	Butler County Board of Supervisors
Chris Watts	Board Member	Cerro Gordo County Board of Supervisors
Jacob Hackman	Board Member	Chickasaw County Board of Supervisors
Sharon Keehner	Board Member	Clayton County Board of Supervisors
John Pluth	Board Member	Emmet County Board of Supervisors
Jeanine Tellin	Board Member	Fayette County Board of Supervisors
Jim Ross	Board Member	Grundy County Board of Supervisors
Gary Rayhons	Board Member	Hancock County Board of Supervisors
Pat Murray	Board Member	Howard County Board of Supervisors
Sandy Loney	Board Member	Humboldt County Board of Supervisors
Barb Francis	Board Member	Mitchell County Board of Supervisors
Clarence Siepker	Board Member	Pocahontas County Board of Supervisors
Larry Vest	Board Member	Tama County Board of Supervisors
Mark Campbell	Board Member	Webster County Board of Supervisors
Floyd Ashbacher	Board Member	Winneshiek County Board of Supervisors
Eric Donat	Board Member	Adult or Actively Involved Relative of Adult who utilizes MHDS
*TBD	Board Member	Parent or Actively Involved Relative of Child who Utilizes CBH
*TBD	Board Member	Regional Education System Representative
Marcia Oltrogge	Non-voting Ex-Officio Board Member	Regional MHDS Adult Service Provider
*TBD	Non-voting Ex-Officio Board Member	Regional Children's Behavioral Service Provider

*TBD-names will be presented and voted on at the May 27, 2020 CSS Board Meeting. This Appendix will be updated at that time.

Appendix B

CSS Children's Behavioral Health Advisory Committees

	North Service Area	East Service Area	South Service Area	West Service Area
Parents/Relatives of CBH Services				
Educational System	Ann Lupkes, AEA	Chamoni Delong, Keystone	Donna Kitrick, Waterloo Schools	Julie Runksmeier, NU schools
	Michelle Dohman- Riceville Schools	Amy Holst- Keystone AEA	Dana Miller- Central Rivers AEA	Deborah Koester-Rees, FD
	Jamie Heard- MC Schools	Pat Lehmann		Mary Kenny- Ft Dodge school
	Kristi Aschenbrenner- Osage Nurse			Katie Black, North Union prin
	Lori Riley- St Ansgar Schools			Lynnae Harvey, FD schools
	Kandice Bienfang-Lee, AEA SW			Jackie Fonley, Pocahontas
	Christin Squier, AEA			Courtney Cook
	Laurie Huftalin			Josh Seltz
Early Childhood Advocates	Samantha Soash			
Child Welfare Advocates	Katy Thoreson, fam tx court		Meg Campbell	Melissa Loehr
	Cody Williams			
CBH Service Providers	Shanda Hansen, YSS	Patrick Costigan, Mosaic	Nichole Winther- Families First	Emily Rohlk, Season's Center
	Mia Masteller, Four Oaks	Elizabeth Lippert Kane	Stuart Feldman, MercyOne	Sarah Cain- Families First
	Melissa Clough, FMC	Bethany Ellingson	Jena Burch, Allen SW	Abby Seltz- Families First
	Alyse Devries	Carson Eggland	Judy Phelps, DHS	Katie Royster, CFI
	Stacy Ward, Autism Center		Ashley Ross, LSI	Crystal Engstrom- CASA
	Bridgid Christainson, child therapist		Julie Johnson Heart & Solutions	
	Melissa Nelson		Noelle Iben, LSI	Pat Cirks
Community Member	Jolene Norby		June Klein-Bacon-BI Alliance	Jennifer Pullen
			Alexis Holmes, Peoples Clinic	Rachell Swanson
			Saleena Neuhaus, LISW	Beth Plautz
			Katie Wahl, BHGMHC	Jill Barr
			Sara Kastli, Peoples clinic	
Juvenile Court System			Ruth Frush	
Pediatricians				
Child Care Providers		Linda Duffy, CHSC	Child Care Resource & Referral	Megan Sprecher, CHSC
Local Law Enforcement	Mike McKelvey, MCPD	Dan Marx, Winneshiek Sheriff		Alex Leu, Fonda PD
Regional Governing Board				

This list will be updated after the Advisory Committees meet on April 22, 2020, noting the CBH Regional Advisory Committee members and filling in more names as available.

Appendix C

CSS Advisory Committees

	North Service Area	East Service Area	South Service Area	West Service Area
CSS Board Representative	Roy Schwickerath	Floyd Ashbacher- CHAIR	Greg Barnett	Sandy Loney
	Chris Watts	Sharon Keehner- VICE CHAIR	Craig White	John Pluth
	Ken Abrams	Jeanine Tellin	Larry Vest	Mark Campbell
	Gary Rahons	John Logsdon		Karl Helgevold
		Pat Murray		
Sheriff or Representative	Pat Shirley	Dan Marx	Tony Thompson	James Stubbs
	Mike McKelvey- Vice Chair			Dean Kruger
				Alex Leu
Public Health Representative	Gayle Arjes	Cheryl McConnell	Jennifer Becker	
	Chelcee Schleuger			Julie Richter
Primary Care Representative	Sarah Enke	Julie Creery		
	Laura Zwiefel			Beth Hively
Network Provider Representative-DD	Heather Curtis	Jamie Halse	June Klein-Bacon	
	Heidi Berg	Shirley Christainson	Haley Krall	Teresa Naughton
	Melissa Clough		Brittney Montross- Vice Chair	Robert Ammann
	Heidi Hansen		Erika Benedict	
	Sherry Becker		Melody Moser	
	Simone Schmitt			
Network Provider Representative-CMHC	Kelly Grunhovd	Marcia Oltrogge- Provider Rep	Tom Eachus	Beth Hively
		Judy Holthaus	Chris Hoffman	Aaron McHone
			Trinette White	
			Gina Hiler- CHAIR	
School Representative	Chris Hageman	Greg Schutte	Donna Kitrick	Jeff Herzberg
	Mike Fisher	DJ Scholtes	Brian Foster	
Children's Provider Representative	Jen Hansen	Kristine Turner	Julie Johnson	Ashley- Lotus
	Shanda Hansen- Provider Rep	Shannon Brown		Michael Popp
		Heidi Loebig		
Community, consumer, or family	Stewart Dalton- CHAIR	Janel Clarke- Consumer Rep	Melissa Hovenga	Nicole Spencer
	Jolene Norby	Mary Kelly		Michelle DeLaRiva
		Joy Conrad		Randy Hoover
				Robin Hoover
			Andrew Osborn	

Appendix D

2020 Federal Poverty Guidelines

Persons in Family or Household	48 Contiguous States and D.C.	Monthly 100%	Monthly 150%
1	\$12,760	\$1,063	\$1,595
2	17,240	\$1,437	\$2,155
3	21,720	\$1,810	\$2,715
4	26,200	\$2,183	\$3,275
5	30,680	\$2,557	\$3,835
6	35,160	\$2,930	\$4,395
7	39,640	\$3,303	\$4,955
8	44,120	\$3,677	\$5,515
For each additional person, add	4,480	\$373	\$560

Effective January 15, 2020 - Per the Department of Health and Human Services
<https://aspe.hhs.gov/poverty-guidelines>

Appendix E

County Social Services 2020 Sliding Fee Schedule for CMHC Outpatient Services

	Household Size:								
Client Co-Payments	1	2	3	4	5	6	7	8	% Poverty
Income listed is the upper threshold for that copayment.									
No Fee	\$1,595	\$2,155	\$2,715	\$3,275	\$3,835	\$4,395	\$4,955	\$5,515	150%
\$10	\$1,808	\$2,442	\$3,077	\$3,712	\$4,346	\$4,981	\$5,616	\$6,250	170%
\$20	\$2,020	\$2,730	\$3,439	\$4,148	\$4,858	\$5,567	\$6,276	\$6,986	190%
\$30	\$2,233	\$3,017	\$3,801	\$4,585	\$5,369	\$6,153	\$6,937	\$7,721	210%
\$40	\$2,446	\$3,304	\$4,163	\$5,022	\$5,880	\$6,739	\$7,598	\$8,456	230%
\$50	\$2,658	\$3,592	\$4,525	\$5,458	\$6,392	\$7,325	\$8,258	\$9,192	250%
\$60	\$2,871	\$3,879	\$4,887	\$5,895	\$6,903	\$7,911	\$8,919	\$9,927	270%
\$70	\$3,084	\$4,166	\$5,249	\$6,332	\$7,414	\$8,497	\$9,580	\$10,662	290%
\$80	\$3,296	\$4,454	\$5,611	\$6,768	\$7,926	\$9,083	\$10,240	\$11,398	310%
\$90	\$3,509	\$4,741	\$5,973	\$7,205	\$8,437	\$9,669	\$10,901	\$12,133	330%
\$100	\$3,722	\$5,028	\$6,335	\$7,642	\$8,948	\$10,255	\$11,562	\$12,868	350%
Full Fee	>\$3,722	>\$5,028	>\$6,335	>\$7,642	>\$8,948	>\$10,255	>\$11,562	>\$12,868	>350%

*monthly gross income

Income listed is the upper threshold for that copayment.

100% Poverty	\$1,063	\$1,437	\$1,810	\$2,183	\$2,557	\$2,930	\$3,303	\$3,677
--------------	---------	---------	---------	---------	---------	---------	---------	---------